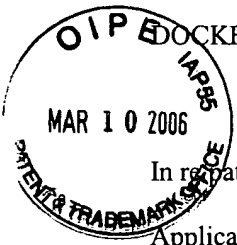


1 Feb 26 63



DOCKET NO.: MS158346.01/MSFTP184US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **David E. Heckerman et al.**
Serial No.: **09/873,719**
Filed: **June 04, 2001**
For: **EFFICIENT DETERMINATION OF SAMPLE SIZE TO FACILITATE BUILDING A STATISTICAL MODEL**
Art Unit: **2663**
Examiner: **Wilbert L. Starks**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) ☐ Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

- (d) ☐ After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☐ Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement :
- (i) ☐ Enclosed is a check covering the fee;
- (ii) ☐ Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or
- (iii) ☐ Enclosed is a credit card payment form covering the fee.
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- (3) ☒ Enclosed is a credit card payment form covering the fee.

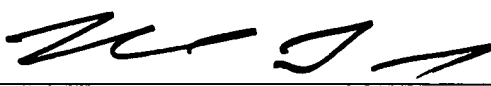
4. Certification (if applicable)

- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

By



Himanshu S. Amin
Reg. No. 40,894

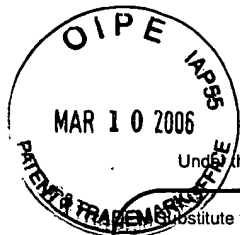
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24TH Floor, National City Center
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(216) 696-8730

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

3-7-06
Date


Himanshu S. Amin



PTO/SB/08b (08-03)

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**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number

09/873,719

Filing Date

June 04, 2001

First Named Inventor

David E. Heckerman

Art Unit

2663

Examiner Name

Wilbert L. Starks

Attorney Docket Number

MS158346.01/MSFTP184US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PETER CHEESEMEN and JOHN STUTZ, Bayesian Classification (AutoClass): Theory and Results, Advances in Knowledge Discovery and Data Mining, 1995, pp. 153-180, AAAI Press, Menlo Park, CA.	
		RONALD A. HOWARD, Decision Analysis: Applied Decision Theory, Proceedings of the Fourth International Conference on Operational Research, 1966, pp. 55-71, Wiley-Interscience.	
		GEORGE H. JOHN and PAT LANGLEY, Static Versus Dynamic Sampling for Data Mining, Proceedings of the Second International Conference on Knowledge Discovery and Data Mining, 1996, pp. 367-370, AAAI/MIT Press.	
		CARL MYERS KADIE, SEER: Maximum Likelihood Regression For Learning-Speed Curves, Thesis, 1995, 104 pages, Department of Computer Science, University of Illinois, Urbana, IL.	
		D. PEARCE, Cost Benefit Analysis, 1983, pp. 59-89, St. Martin's Press, New York.	
		FOSTER PROVOST, DAVID JENSEN, and TIM OATES, Efficient Progressive Sampling, Conference on Knowledge Discovery in Data, Proceedings of the Fifth ACM SIGKDD International Conference on Knowledge Discovery And Data Mining, 1999, pp. 23-32 pages, ACM, New York.	
		B. THIESSON, C. MEEK, D.M. CHICKERING, and D. HECKERMAN, Computationally Efficient Methods for Selecting Among Mixtures of Graphical Models, Bayesian Statistics 6: Proceedings of Sixth Valencia International Meeting, 1999, pp. 631-656, Clarendon Press, Oxford.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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